

Homomorphic Encryption from Learning with Errors Conceptually-Simpler, Asymptotically-Faster, Attribute-Based

LATTICE

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1 Motivation

2 Overview of Techniques

- Homomorphic Operations
- Preliminaries and Notations
- Basic Operations

3 LWE-Based FHE Scheme

- Basic Encryption Scheme
- Homomorphic Operations

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Motivation

- In this work, we propose a new technique for building FHE schemes that we call the **approximate eigenvector** method.
- In our scheme, homomorphic addition and multiplication are just **matrix addition** and **multiplication**. This makes our scheme both asymptotically faster and easier to understand.
- In previous schemes, the homomorphic evaluator needs to obtain the user's **evaluation key**, which consists of a chain of encrypted secret keys. Our scheme has no evaluation key.

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Homomorphic Operations(1)

For some modulus q and dimension parameter N , a ciphertext C is an $N \times N$ matrix over \mathbb{Z}_q , with "small" entries (much smaller than q) and the secret key \mathbf{v} is an N -dimensional vector over \mathbb{Z}_q with at least one "big" coefficient v_i . We restrict the message μ to be a "small" integer. We say C encrypts μ when

$$C \cdot \mathbf{v} = \mu \cdot \mathbf{v} + \mathbf{e}, \text{ where } \mathbf{e} \text{ is a "small" error vector.}$$

To decrypt, we extract the i -th row C_i from C , compute $x \leftarrow \langle C_i, \mathbf{v} \rangle = \mu \cdot v_i + e_i$, and output $\mu = \lfloor x/v_i \rfloor$. In a nutshell, the essence of our scheme is that the secret key \mathbf{v} is an **approximate eigenvector** of the ciphertext matrix C , and the message μ is the **eigenvalue**.

Homomorphic Operations(2)

Suppose C_1, C_2 encrypt μ_1, μ_2 in that $C_i \cdot \mathbf{v} = \mu_i \cdot \mathbf{v} + \mathbf{e}_i$ for **small** \mathbf{e}_i .

- Matrix Addition

$C^+ \cdot \mathbf{v} = (\mu_1 + \mu_2) \cdot \mathbf{v} + (\mathbf{e}_1 + \mathbf{e}_2)$, where the error has grown a little.

- Matrix Multiplication

$$\begin{aligned}C^\times \cdot \mathbf{v} &= C_1 \cdot (\mu_2 \cdot \mathbf{v} + \mathbf{e}_2) \\&= \mu_2 \cdot (\mu_1 \cdot \mathbf{v} + \mathbf{e}_1) + C_1 \cdot \mathbf{e}_2 \\&= \mu_1 \cdot \mu_2 \cdot \mathbf{v} + \mu_2 \cdot \mathbf{e}_1 + C_1 \cdot \mathbf{e}_2 \\&= \mu_1 \cdot \mu_2 \cdot \mathbf{v} + \text{small},\end{aligned}$$

where the final error vector is hopefully "**small**", since μ_2, C_1, \mathbf{e}_1 , and \mathbf{e}_2 are all **small**.

(Decisional) Learning with Errors (LWE) Problem

Let λ be a security parameter. For parameters $n = n(\lambda)$, $q = q(\lambda) \geq 2$, and a distribution $\chi = \chi(\lambda)$ over \mathbb{Z} , the $LWE_{n,q,\chi}$ problem is to distinguish the following distributions:

- **Distribution 0:** The i -th sample $(\mathbf{a}_i, b_i) \in \mathbb{Z}_q^{n+1}$ is computed by uniformly sampling $\mathbf{a}_i \xleftarrow{\$} \mathbb{Z}_q^n$ and $b_i \xleftarrow{\$} \mathbb{Z}_q$.
- **Distribution 1:** Generate uniform vector $\mathbf{s} \xleftarrow{\$} \mathbb{Z}_q^n$. The i -th sample $(\mathbf{a}_i, b_i) \in \mathbb{Z}_q^{n+1}$ is computed by uniformly sampling $\mathbf{a}_i \xleftarrow{\$} \mathbb{Z}_q^n$, sampling an error value $e_i \xleftarrow{\$} \chi$ and computing $b_i \leftarrow \langle \mathbf{a}_i, \mathbf{s} \rangle + e_i$.

Definition (B-bounded distributions)

A distribution ensemble $\{D_n\}_{n \in \mathbb{N}}$, supported over the integers, is called B-bounded if $Pr_{e \leftarrow D_n} [|e| > B] = \text{negl}(n)$.

Definition ($GapSVP_\gamma$)

Let n be a lattice dimension, and let d be a real number. Then $GapSVP_\gamma$ is the problem of deciding whether an n -dimensional lattice has a nonzero vector shorter than d or no nonzero vector shorter than $\gamma(n) \cdot d$.

Theorem (Reg05, Pei09, MM11, MP12, Bra12)

Let $q = q(n) \in \mathbb{N}$ be either a prime power or a product of small ($\text{poly}(n)$) distinct primes, and let $B \geq \omega(\log n) \cdot \sqrt{n}$. Then there exists an efficient sampleable B -bounded distribution such that if there is an efficient algorithm that solves the average-case $\text{LWE}_{n,q,\chi}$ problem, then:

- There is an efficient quantum algorithm that solves $\text{GapSVP}_{\tilde{O}(nq/B)}$ on any n -dimensional lattice.
- If $q > \tilde{O}(2^{n/2})$, then there is an efficient classical algorithm for $\text{GapSVP}_{\tilde{O}(nq/B)}$ on any n -dimensional lattice.

Definition (B -boundedness)

Let $B < q$ be an integer. Let \mathbf{C} be a ciphertext matrix that encrypts μ . Let \mathbf{v} be a secret key vector such that $\mathbf{C} \cdot \mathbf{v} = \mu \cdot \mathbf{v} + \mathbf{e}$. Then \mathbf{C} is said to be B -bounded (with respect to \mathbf{v}) if the magnitude of μ is at most B , the magnitude of all the entries of \mathbf{C} is at most B , and $\|\mathbf{e}\|_\infty \leq B$.

B -boundedness

Suppose C_1 and C_2 are B -bounded ciphertexts, then C^+ is $2B$ -bounded, and C^\times is $(N + 1)B^2$ -bounded. In short, the error level grows worse than B^{2^L} , doubly exponentially with the multiplicative depth L of the circuit being evaluated.

$$C^+ \cdot \mathbf{v} = (\mu_1 + \mu_2) \cdot \mathbf{v} + (\mathbf{e}_1 + \mathbf{e}_2)$$

$$\begin{aligned} C^\times \cdot \mathbf{v} &= C_1 \cdot (\mu_2 \cdot \mathbf{v} + \mathbf{e}_2) \\ &= \mu_2 \cdot (\mu_1 \cdot \mathbf{v} + \mathbf{e}_1) + C_1 \cdot \mathbf{e}_2 \\ &= \mu_1 \cdot \mu_2 \cdot \mathbf{v} + \mu_2 \cdot \mathbf{e}_1 + C_1 \cdot \mathbf{e}_2 \\ &= \mu_1 \cdot \mu_2 \cdot \mathbf{v} + \textit{small}, \end{aligned}$$

The modulus q can be chosen to bound the error, but we must be careful to ensure that the ratio q/B is at most subexponential in N to guarantee security.

Definition (B -strong-boundedness)

Let $B < q$ be an integer. Let \mathbf{C} be a ciphertext matrix that encrypts μ . Let \mathbf{v} be a secret key vector such that $\mathbf{C} \cdot \mathbf{v} = \mu \cdot \mathbf{v} + \mathbf{e}$. Then \mathbf{C} is said to be B -bounded (with respect to \mathbf{v}) if the magnitude of μ is at most 1, the magnitude of all the entries of \mathbf{C} is at most 1, and $\|\mathbf{e}\|_\infty \leq B$.

NAND Gate

Let \mathbf{C}_1 and \mathbf{C}_2 be ciphertext matrices that encrypt $\mu_1, \mu_2 \in \{0, 1\}$ respectively. A NAND gate can be evaluated on two ciphertexts \mathbf{C}_1 and \mathbf{C}_2 as follows:

$$\mathbf{C}_3 = \mathbf{I}_N - \mathbf{C}_1 \cdot \mathbf{C}_2, \text{ where } \mathbf{I}_N \text{ is the } N \times N \text{ identity matrix.}$$

Now if \mathbf{C}_1 and \mathbf{C}_2 are B -strongly-bounded, then the coefficients of \mathbf{C}_3 's error vector have magnitude at most $(N + 1)B$, which is in contrast to $(N + 1)B^2$ above where \mathbf{C}_1 and \mathbf{C}_2 were just B -bounded.

Suppose there were some way to preserve strong-boundedness in \mathbf{C}_3 (i.e. to ensure the magnitude of its entries remained at most 1). Then it would be the case that \mathbf{C}_3 is $(N + 1)B$ -strongly bounded. As a result, the error level would grow to at most $(N + 1)^L B$ when evaluating a circuit of NAND gates of depth L .

Basic Operations(1)

Basic Operations Let $\ell_q = \lceil \lg q \rceil + 1$. Let $\mathbf{v} \in \mathbb{Z}_q^{m'}$ be a vector of some dimension m' over \mathbb{Z}_q . Let $N = m' \cdot \ell_q$.

- **BitDecomp**(\mathbf{v}): We define an algorithm BitDecomp that takes as input a vector $\mathbf{v} \in \mathbb{Z}_q^{m'}$ and outputs an N -dimensional vector $(v_{1,0}, \dots, v_{1,\ell_q-1}, \dots, v_{k,0}, \dots, v_{k,\ell_q-1})$ where $v_{i,j}$ is the j -th bit in v_i 's binary representation (ordered from least significant to most significant).
- **BitDecomp** $^{-1}$ (\mathbf{v}'): We define an “inverse” algorithm BitDecomp $^{-1}$ that takes an N -dimensional vector $\mathbf{v}' = (v'_{1,0}, \dots, v'_{1,\ell_q-1}, \dots, v'_{k,0}, \dots, v'_{k,\ell_q-1})$, and outputs a m' -dimensional vector $(\sum_{j=0}^{\ell_q-1} 2^j \cdot v'_{1,j}, \dots, \sum_{j=0}^{\ell_q-1} 2^j \cdot v'_{k,j})$. Note that the input vector \mathbf{v}' need not be binary, the algorithm is well-defined for any input vector in \mathbb{Z}_q^N .
- **Flatten**(\mathbf{v}'): The algorithm Flatten takes as input an N -dimensional vector $\mathbf{v}' \in \mathbb{Z}_q^N$ and outputs an N -dimensional binary vector BitDecomp(BitDecomp $^{-1}$ (\mathbf{v}') $\in \{0, 1\}^N$.
- **Powersof2**(\mathbf{v}): The algorithm Powersof2 takes a m' -dimensional vector $\mathbf{v} \in \mathbb{Z}_q^{m'}$ and outputs an N -dimensional vector $(v_1, 2v_1, \dots, 2^{\ell_q-1}v_1, \dots, v_k, 2v_k, \dots, 2^{\ell_q-1}v_k)$.

Basic Operations(2)

We also define *BitDecomp*, *BitDecomp*⁻¹ and *Flatten* for matrix inputs. In this case, the respective algorithm is applied to each row independently.

Let $\mathbf{a}, \mathbf{b} \in \mathbb{Z}_q^{m'}$ be m' -dimensional vectors, and let $\mathbf{a}' \in \mathbb{Z}_q^N$ be an N -dimensional vector, we have:

- $\langle \text{BitDecomp}(\mathbf{a}), \text{Powersof2}(\mathbf{b}) \rangle = \langle \mathbf{a}, \mathbf{b} \rangle$.
- $\langle \mathbf{a}', \text{Powersof2}(\mathbf{b}) \rangle = \langle \text{BitDecomp}^{-1}(\mathbf{a}'), \mathbf{b} \rangle = \langle \text{Flatten}(\mathbf{a}'), \text{Powersof2}(\mathbf{b}) \rangle$.

Basic Operations(3)

With the help of the above techniques, we can tackle the problem of preserving strong-boundedness after a NAND operation. In order to make the coefficients of \mathbf{C}_3 above have magnitude at most 1, we apply *Flatten* to the matrix \mathbf{C}_3 .

Thus, we compute $\mathbf{C}^{NAND} \leftarrow \text{Flatten}(\mathbf{C}_3)$ to produce the output ciphertext of the NAND gate. The vector \mathbf{v} must have a special form, more precisely, \mathbf{v} is computed as $\text{Powersof2}(\mathbf{s}) \in \mathbb{Z}_q^N$ for some secret key vector $\mathbf{s} \in \mathbb{Z}_q^{m'}$ for some m' .

With this form of secret key vector \mathbf{v} , it holds that $\text{Flatten}(\mathbf{C}) \cdot \mathbf{v} = \mathbf{C} \cdot \mathbf{v}$ for any $N \times N$ matrix \mathbf{C} . So \mathbf{C}^{NAND} will have entries in $\{0, 1\}$ and thus be strongly-bounded.

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Basic Encryption Scheme(1)

- $\text{Setup}(1^\lambda, 1^L)$: Choose a modulus q of $\kappa = \kappa(\lambda, L)$ bits, lattice dimension parameter $n = n(\lambda, L)$, and error distribution $\chi = \chi(\lambda, L)$ appropriately for LWE that achieves at least 2^λ security against known attacks. Also, choose parameter $m = m(\lambda, L) = O(n \log q)$. Let $params = (n, q, \chi, m)$. Let $\ell = \lfloor \log q \rfloor + 1$ and $N = (n + 1) \cdot \ell$.
- $\text{SecretKeyGen}(params)$: Sample $\mathbf{t} \leftarrow \mathbb{Z}_q^n$. Output $sk = \mathbf{s} \leftarrow (1, -t_1, \dots, -t_n) \in \mathbb{Z}_q^{n+1}$. Let $\mathbf{v} = \text{Powersof2}(\mathbf{s})$.
- $\text{PublicKeyGen}(params, sk)$: Generate a matrix $B \leftarrow \mathbb{Z}_q^{m \times n}$ uniformly and a vector $\mathbf{e} \leftarrow \chi^m$. Set $\mathbf{b} = B \cdot \mathbf{t} + \mathbf{e}$. Set A to be the $(n + 1)$ -column matrix consisting of \mathbf{b} followed by the n columns of B . Set the public key $pk = A$. (*Remark*: Observe that $A \cdot \mathbf{s} = \mathbf{e}$.)

Basic Encryption Scheme(2)

- $\text{Enc}(params, pk, \mu)$: To encrypt a message $\mu \in \mathbb{Z}_q$, sample a uniform matrix $R \in \{0, 1\}^{N \times m}$ and output the ciphertext C given below.

$$C = \text{Flatten}(\mu \cdot I_N + \text{BitDecomp}(R \cdot A)) \in \mathbb{Z}_q^{N \times N}.$$

- $\text{Dec}(params, sk, C)$: Observe that the first ℓ coefficients of \mathbf{v} are $1, 2, \dots, 2^{\ell-1}$. Among these coefficients, let $v_i = 2^i$ be in $(q/4, q/2]$. Let C_i be the i -th row of C . Compute $x_i \leftarrow \langle C_i, \mathbf{v} \rangle$. Output $\mu' = \lfloor x_i / v_i \rfloor$.

Basic Encryption Scheme(3)

If C is properly generated, then by the elementary properties of *BitDecomp* and *Powerof2*, we have

$$\begin{aligned}C \cdot v &= \mu \cdot v + \text{BitDecomp}(R \cdot A) \cdot v \\&= \mu \cdot v + \text{BitDecomp}(R \cdot A) \cdot \text{Powerof2}(s) \\&= \mu \cdot v + R \cdot A \cdot s \\&= \mu \cdot v + R \cdot e\end{aligned}$$

Dec only uses the i -th coefficient of the above expression, which is $x_i = \mu \cdot v_i + \langle R_i, e_i \rangle$. The error $\langle R_i, e_i \rangle$ has magnitude at most $\|e\|_1$.

In general, if $x_i = \mu \cdot v_i + e'$ for some **error e' of magnitude at most $q/8$** , and if $v_i \in (q/4, q/2]$, then x_i/v_i differs from μ by at most $(q/8)/v_i < 1/2$, and *Dec* uses rounding to output the correct value of μ .

Homomorphic Operations(1)

We provide additional homomorphic operations MultConst, Add, Mult, NAND as follows.

- MultConst(C, α): To multiply a ciphertext $C \in \mathbb{Z}_q^{N \times N}$ by known constant $\alpha \in \mathbb{Z}_q$, set $M_\alpha \leftarrow \text{Flatten}(\alpha \cdot I_N)$ and output $\text{Flatten}(M_\alpha \cdot C)$. Observe that:

$$\begin{aligned}\text{MultConst}(C, \alpha) \cdot \mathbf{v} &= M_\alpha \cdot C \cdot \mathbf{v} = M_\alpha \cdot (\mu \cdot \mathbf{v} + \mathbf{e}) = \mu \cdot (M_\alpha \cdot \mathbf{v}) + M_\alpha \cdot \mathbf{e} \\ &= \alpha \cdot \mu \cdot \mathbf{v} + M_\alpha \cdot \mathbf{e}\end{aligned}$$

- Add(C_1, C_2): To add ciphertexts $C_1, C_2 \in \mathbb{Z}_q^{N \times N}$, output $\text{Flatten}(C_1 + C_2)$. The correctness of this operation is immediate. Note that the addition of messages is over the full base ring \mathbb{Z}_q .

Homomorphic Operations(2)

- $\text{Mult}(C_1, C_2)$: To multiply ciphertexts $C_1, C_2 \in \mathbb{Z}_q^{N \times N}$, output $\text{Flatten}(C_1 \cdot C_2)$. Observe that:

$$\begin{aligned}\text{Mult}(C_1, C_2) \cdot \mathbf{v} &= C_1 \cdot C_2 \cdot \mathbf{v} = C_1 \cdot (\mu_2 \cdot \mathbf{v} + \mathbf{e}_2) + \mu_2 \cdot (\mu_1 \mathbf{v} + \mathbf{e}_1) + C_1 \cdot \mathbf{e}_2 \\ &= \mu_1 \cdot \mu_2 \cdot \mathbf{v} + \mu_2 \cdot \mathbf{e}_1 + C_1 \cdot \mathbf{e}_2\end{aligned}$$

- $\text{NAND}(C_1, C_2)$: To NAND ciphertexts $C_1, C_2 \in \mathbb{Z}_q^{N \times N}$ that are known to encrypt messages $\mu_1, \mu_2 \in \{0, 1\}$, output $\text{Flatten}(I_N - C_1 \cdot C_2)$. Observe that:

$$\text{NAND}(C_1, C_2) \cdot \mathbf{v} = (I_N - C_1 \cdot C_2) \cdot \mathbf{v} = (1 - \mu_1 \cdot \mu_2) \cdot \mathbf{v} - \mu_2 \cdot \mathbf{e}_1 - C_1 \cdot \mathbf{e}_2$$

Homomorphic Operations(3)

MultConst increases the error by a factor of at most N , regardless of what element $\alpha \in \mathbb{Z}_q$ is used for multiplication. **Mult and NAND** homomorphic operation increases the error by a factor of at most $N + 1$.

The circuit can be converted to use only NAND gates, the final ciphertext's error will be bounded by $(N + 1)^L \cdot B$, where L is the NAND-depth of the circuit, and B is the original bound on the error of a fresh encryption of $\{0, 1\}$.

As long as $q/B > 8(N + 1)^L$, we can evaluate a depth- L circuit of NANDs over B -bounded ciphertexts to obtain a $q/8$ -bounded ciphertext, which Dec will decrypt correctly.



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